

2675 #17
121

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)	Confirmation No: 6510
)	
Leroy B. Keely et al.)	Group Art Unit: 2675
)	
Serial No.: 09/750,288)	Examiner: A. Awad
)	
Filed: December 29, 2000)	
)	
For: CLASSYING, ANCHORING,)	Atty. Dkt. No. 003797.00071
AND TRANSFORMING INK)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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AUG 18 2004

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Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure.

Transmitted herewith is:

- ☒ [X] A PTO 1449 form
- ☐ [] A Background Art Information Paper
- ☒ [X] A copy of each item of information listed on the PTO 1449 form
- ☐ [] Concise explanation of non-English language information
- ☐ [] concise explanation of relevance:
- ☐ [] English translation of foreign language information

☐ English language version of foreign patent office report citing information

☐ English language abstract(s) of foreign patent document(s)

- ☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. ****, filed ***. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. ☐ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
 - ☐ the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:
- ☐ a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
 - ☐ a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

- ☐ a fee under 37 CFR 1.17(p) for \$180.00; or
- ☐ a certification as stated below.

3. ☒ This Information Disclosure Statement is filed before payment of the issue fee, but after either
- ☒ a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;

☐ a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

☒ a certification, as stated below; and

☒ the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification

The undersigned states:

☒ Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or

☐ No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

TOTAL FEES: \$180.00

☐ A check for the amount of the above indicated TOTAL FEES is attached.

☒ Please charge Deposit Account No. 19-0733 in the amount of \$180.00.

☐ A check for \$ is enclosed.

☐ No fee is required.

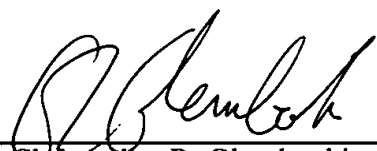
☒ Please charge or credit Deposit Account No. 19-0733 for an deficiency or overpayment associated with this Information Disclosure Statement.

A duplicate copy of this sheet is enclosed for accounting purposes.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: 
Christopher R. Glembocki
Registration No. 38,800

Eleventh Floor
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 824-3000

Dated: 8/16/04

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/750,288
Filing Date December 29, 2000
First Named Inventor Leroy B. Keely et al.
Examiner Name A. Awad
Art Unit 2675
Attorney Docket No. 003797.00071

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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																													
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order				3. ADDITIONAL FEES																																													
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The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																	
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1. BASIC FILING FEE																																																	
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>2001</td><td>770</td><td>385</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>2002</td><td>340</td><td>170</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>2003</td><td>530</td><td>265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>2004</td><td>770</td><td>385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>2005</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td colspan="2">(\$) 0</td></tr></tbody></table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1001	2001	770	385	Utility filing fee		1002	2002	340	170	Design filing fee		1003	2003	530	265	Plant filing fee		1004	2004	770	385	Reissue filing fee		1005	2005	160	80	Provisional filing fee		SUBTOTAL (1)				(\$) 0					
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				1401 330 2401 165 Notice of Appeal																																													
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				1403 290 2403 145 Request for oral hearing																																													
				1451 1,510 1451 1,510 Petition to institute a public use proceeding																																													
				1452 110 2452 55 Petition to revive - unavoidable																																													
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				1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))																																													
				1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b))																																													
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				Other fee (specify) _____																																													
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Christopher R. Glembocki	Registration No. Attorney/Agent	38,800	Telephone	202-824-3000
Signature		Date	August 9, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	PATENT & TRADEMARK OFFICE AUG 16 2004	PATENT DOCKET NO. 003797.00071	SERIAL NUMBER 09/750,288
	APPLICANT Leroy B. Keely et al.		
FILING DATE December 29, 2000		GROUP ART UNIT 2675	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,893,126	04/1999	Drews et al.			
	5,146,552	09/1992	Cassorla et al.			

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	1 016 983 A2	07/2000	Europe			
	WO 97/22109	06/1997	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Bill N. Schilit et al., "Digital Library Information Appliances", pgs. 217-225, 1998.
	European Search Report dated July 8, 2004.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	